

MiCAR Fit & Proper

Requirements for Management Bodies and Key Functions



Intensive Training Programme

- Design governance and organisational structures in an audit-proof manner
- Implement the interaction of MiCAR with KWG, AML regulations and DORA in a practical way
- Understand and avoid typical findings from BaFin/FMA inspections
- Derive concrete, actionable measures directly for your institution

Speakers

Jan-Eric Dippel LL.M
Managing Director and Head of Attestation & Advisory
Digital Ethics Compliance und Consulting GmbH, Eschborn

Dr. Martin Schulte, LL.M. (London)
Attorney-at-Law, Partner
Waldeck Attorneys at Law, Frankfurt/Main

Johann-Alexander Klöpper
Manager, Financial Services, Regulatory & Compliance
KPMG AG Wirtschaftsprüfungsgesellschaft, Frankfurt/Main

Programme – Day 1 · Monday, 7 December 2026

Regulatory Framework, Fit & Proper and Governance

Welcome and Objectives · 9:00–9:30 am

Introduction, training objectives, expectation setting and regulatory context

Regulatory Framework · 9:30–11:00 am

Structure of MiCAR, interaction with KWG, AML regulations and DORA, and relevance for crypto-related institutions

Fit & Proper under MiCAR · 11:15 am –12:45 pm

Articles 63, 67 and 68 MiCAR, EBA/ESMA guidelines, individual and collective suitability

Governance Requirements · 1:30–3:00 pm

Management body, allocation of responsibilities, three lines of defence and organisational accountability

Case Study: Fit & Proper in Practice · 3:00–3:30 pm

Analysis of typical governance deficiencies and derivation of concrete measures

Programme – Day 2 · Tuesday, 8 December 2026

Risks, AML and Compliance

Risk Profile in the Context of MiCAR · 9:00–10:30 am

Key risk types related to crypto-assets, including market abuse, custody, operational and ICT risks

AML/CFT and Travel Rule · 10:45 am –12:15 pm

Integration of MiCAR with AML requirements, role of the AML Officer, typical findings

Compliance, Market Integrity and Conflicts of Interest

1:00–2:30 pm

Market abuse, conflicts of interest, surveillance and requirements for the compliance function

Case Study: Transaction Monitoring · 2:30–3:30 pm

Analysis of practical monitoring challenges and management body responsibilities

Programme – Day 3 · Wednesday, 9 December 2026

DORA, Internal Controls and Audit Preparation

DORA and ICT Risks · 9:00–10:30 am

ICT risk management, outsourcing, incident reporting and interfaces with MiCAR

Internal Control System and Internal Audit · 10:45 am–12:15 pm

Control frameworks, internal controls, role of internal audit and audit relevance

Supervisory Audits and Audit Readiness · 1:00–2:30 pm

Typical findings from BaFin/FMA inspections and preparation for supervisory expectations

Conclusion and Lessons Learned · 2:30–3:30 pm

Summary, Q&A and transfer to participants' organisations

Optional Final Assessment · 3:30–4:30 pm

Knowledge test to document practical application

Aims and Objectives

The MiCAR regulation significantly increases the requirements for managing directors, board members and key functions. Institutions must formally meet, effectively implement and demonstrate suitability, governance and control structures towards supervisors and auditors.

This seminar provides a comprehensive understanding of regulatory requirements and demonstrates how to implement them in a practical, efficient and audit-proof manner within your organisation. Participants will gain in-depth insights into MiCAR, EBA/ESMA guidelines and related frameworks, and develop a robust understanding of how to design Fit & Proper frameworks, governance and control systems effectively in practice.

The training combines regulatory depth with practical applicability.

Target Audience

This event is tailored to:

- Members of the management board and executive management
- Senior professionals responsible for compliance, AML, risk management and internal audit
- Other key roles within regulated environments

Learning Outcomes

- You will be fit & proper and able to confidently interpret MiCAR and apply it to your organisation.
- You will be able to critically assess and further develop governance and control structures.
- You will be able to derive requirements for management bodies and key functions in a structured and transparent manner.
- You will be able to effectively integrate AML/CFT, Travel Rule, compliance and DORA requirements
- You will be able to prepare your institution for internal and external audits in a targeted way.

Our Speakers

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Financial Sanctions Officer

Start possible anytime, online

IKT Spezial für Compliance und Governance

26 June 2026, online

MiCAR Fit & Proper (in German)

7 to 9 September 2026, online

Krypto und Sanktionen

4 November 2026, online

Deep Dive: Sanktionsumgehung durch Krypto

4 November 2026, online

Krypto und Compliance

6 November 2026, online

Customised in-house training for your institution

This seminar is also available as a customised in-house training tailored to your institution, taking into account your business model, internal policies, and governance structures.

Further Information

I am happy to answer your questions about this seminar, in-house trainings and our entire program.



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Registration Form

MiCAR Fit & Proper

Last Name
First Name
Job Title
Company/Organization
Street Address/No
Postal Code/City
Phone Number
Email Address
Assistant's Name
Date/Signature

Kindly send your registration to: anmeldung@akademie-heidelberg.de

Date and Time

Mon to Wed, 7 to 9 December 2026

Daily from 9:00 am

Online access from 8:45 am

Seminar code: 26 12 BC423 W

Fee

€ 2,180.– (plus VAT)

The fee includes access to the seminar as well as the presentation as a PDF file. After the seminar, you will receive a certificate confirming your attendance.

General Terms and Conditions

Our general terms and conditions apply (as of 01.01.2010). If you wish, we can send these to you. An English version is available upon request.

You can also view our general terms and conditions at any time on our website: www.akademie-heidelberg.de/agb

Procedure

- One day prior to the seminar you will receive an email with a link giving you direct access to the online seminar.
- In order to participate, you do not need to download and install any program. You can dial in directly via Zoom using your internet browser.
- You can ask questions at any time and discuss them with the speakers and other participants via your microphone and camera. Alternatively, you can use the chat to communicate.

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